

When “something” just isn’t Right

There can be some indications that an award entity isn’t whom they claim to be, there can be some
“Red Flags”

The information provided below is just to illustrate some situations that *could be* an export control violation.

- ✓ An address is similar to an address found on the Restricted Parties list. (For example; Consolidated Screening List Search Engine [Consolidated Screening List \(trade.gov\)](http://trade.gov)).
- ✓ The recipient of the output is reluctant to offer information about the end-use of the product.
- ✓ Receiving unsolicited contact from unknown individuals, including persons who identify themselves as academics or students, asking for information on, assistance with, or sharing of information, technology, and/or data with potential military applications.
- ✓ The output/item does not fit into the award entities’ line of business, such as an order for a laser device from a dry cleaner.
- ✓ The customer has little or no expertise in the research topic or item requested.
- ✓ The customer is unfamiliar with the output/product’s performance characteristics but still wants the product.
- ✓ An output/product is being sent to PO box or forwarding firm as a destination.
- ✓ Packaging is inconsistent with shipment method or destination.
- ✓ The customer/entity is evasive and/or unclear about the location of use for the output/item when delivered, meaning is it’s use nationally or internationally.

If you have any concerns or believe a violation could be taking place, please report to the Sr. Compliance Manager, located in the Vice Chancellor of Research Office, 573-341-4124.